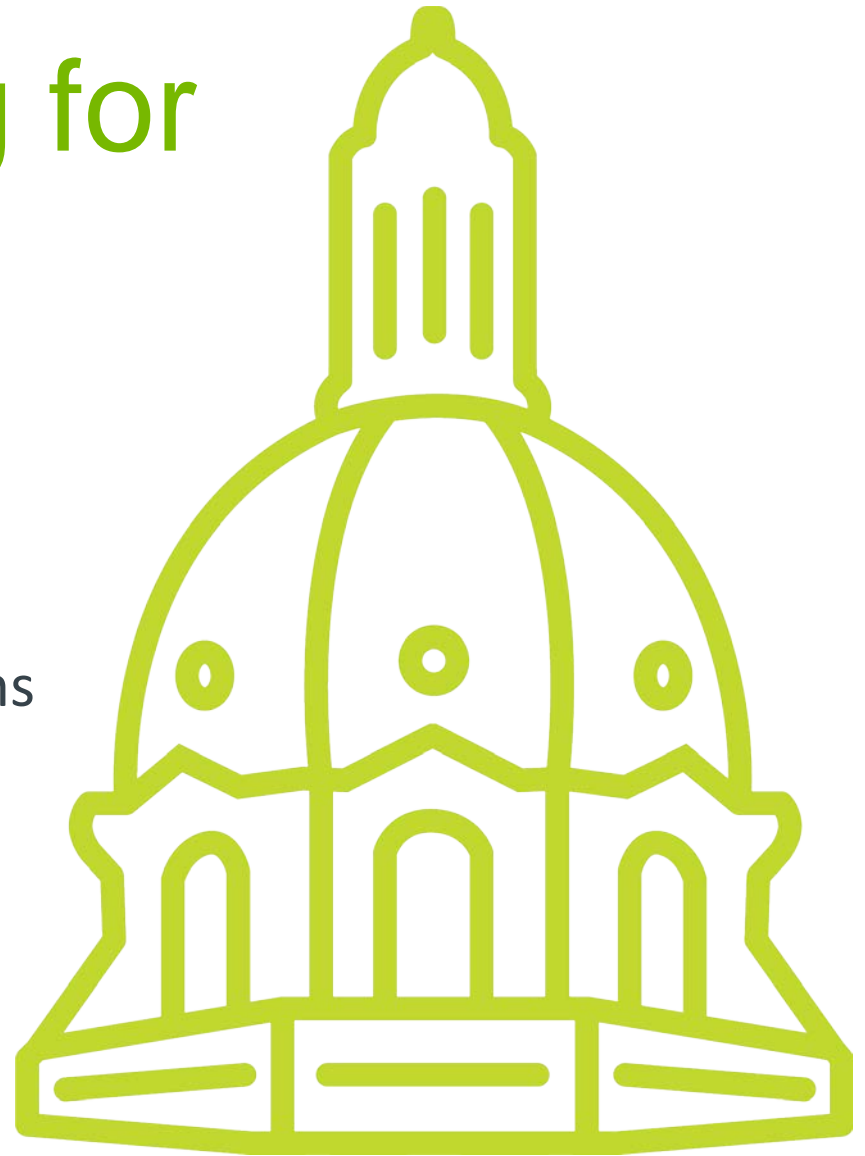


Guide to applying for Review of Trusteeship

How to complete the application forms

Office of the Public Guardian and Trustee
January 15, 2021



Important

Disclaimer

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Process and Forms

Do not use this guide unless the application is for a review of trusteeship only.

The process and forms referred to in this guide are found on our website:

- Information for trusteeship: <https://www.alberta.ca/trusteeship-review.aspx>

Forms required to apply for a review of trusteeship:

- Capacity Assessment Report - Form 4 (if applicable)
- Application - Form 14
- Affidavit of Applicant - Form 15
- Trusteeship Plan - Form 34
- Consent of Trustee - Form 27
- Consent of Alternate Trustee - Form 29 (if applicable)
- Personal References - Form 30

Capacity Assessment Report - Form 4



Capacity Assessment Report (CAR) - Form 4

A Capacity Assessment Report (CAR) – Form 4 is required if:

- It is stated in the existing order that a review must be completed, OR
- There has been a change in the capacity of the represented adult that is resulting in,
 - a request to change the financial matters that the trustee currently has decision-making authority, or
 - a request to terminate the trusteeship order.

If a new Capacity Assessment Report (CAR) – Form 4 is required, it is completed by a physician, psychologist or a registered capacity assessor.

Item 13 will indicate what areas of decision making you will be applying for in your application.

The legislation requires that the CAR – Form 4 must not be dated more than 6 months before the date the application is submitted.

If you are using an older CAR, explain why you are not using a current report and request the court to accept the older report:

- See Application - Form 14, item 10.2.
- Pick Yes and include the explanation.

Application - Form 14



Application - Form 14

First page

- Court File Number – enter the same court file number that shows at the top of the existing court order.
- Judicial Centre drop-down selection – select the same Judicial Centre that is on the existing court order.
- Adult's Full Name – enter the adult's name the same way it appears on the existing court order.
- Applicant's Name – you are the applicant. Fill in your full legal name. **Use the same full name on all the required documents.**
- Applicant's Address for Service – if you are completing the application without the assistance of a lawyer, check the box next to the first '*This section is not applicable to this application*' and enter your mailing address in the section for a '*Non Lawyer Application*'.

Sections

- 1.1 If you are the current trustee, check Desk unless you want a hearing in court.
- 1.2 Check the box next to Review of a Trusteeship Order. Enter the date that the order was granted as well as the date of the most recent review of that order.
- 1.3 Drop-down selection. Pick your relationship to the adult.
2. Information about the adult – Fill out this section. Only fill out the present address if it is different than the permanent address (for example, the adult is currently in a hospital).

Application - Form 14 (cont'd)

- 3.1 Select Yes, check the boxes that apply and fill in the date that the existing order is supposed to be reviewed by. If there is no specific review date, enter “Not Specified”.
- 3.2 On this application a ‘decision-maker’ is a legal term. These are legally recognized decision-makers: guardians, trustees, co-decision makers, and supporters.
 - Click the Add Name button to enter information for each additional person, if needed. Include mailing addresses and email addresses for everyone listed in this section. Indicate the current and proposed position(s) for each person.
- 3.3 If needed, click the Add Attorney button for every attorney listed on the adult’s enduring power of attorney.
- 3.4 If needed, click the Add Agent button for every agent listed on the adult’s personal directive.
- 4.1 If needed, click the Add Family Member button for every living family member over 18 if they match a category.
- 4.2 Complete if applicable.
- 4.3 If needed, click the Add Name button for each interested person to be given notice of this application.
5. This section is not applicable to an application to review an existing trusteeship order.

Application - Form 14 (cont'd)

6.1 Check all boxes that are applicable to your application.

- An “amendment” would be considered adding/removing someone as a trustee or alternate trustee, requesting special authority for a trustee over the adult’s financial matters, etc.
- If you are wanting to remove someone as a trustee or alternate trustee you must also ask to ‘discharge’ that person by checking the applicable box and entering their name(s) and position(s).

6.2 If granted, a trusteeship order applies to all of the represented adult’s real and personal property within Alberta. This area of the form is asking you to indicate if you are asking the court to give any special authority or direction or to impose restrictions or conditions on your authority beyond what would be typical in a trusteeship order. If you check Yes, check the appropriate boxes and provide the indicated information which applies to your circumstances.

NOTE: If there will be 2 or more trustees (not including alternate trustees), they will be required to act jointly unless a request to authorize the trustees to act separately is made in Item 6.2.

6.3 The assessor who completed the Capacity Assessment Report may have suggested a review period. This would be indicated in Item 14 of the report.

- If you do not feel a review is necessary, then check off the last box and ensure your affidavit explains why a review isn’t needed.

Application - Form 14 (cont'd)

- 6.4 The court has discretion to require a trustee to submit accounts for examination and approval within a specified period of time
- If you do not feel an accounting is necessary, then check off the last box and ensure your affidavit explains why an accounting isn't needed.
7. This section is not applicable to an application to review an existing trusteeship order.
- 8.1 Check all that apply.
- 8.2 Check the second box if any of the proposed decision makers are not already a decision maker for the adult. Each **new** proposed trustee and alternate trustee is required to complete a Personal References – Form 30.
9. This section is about sending notification letters to everyone listed on the application.
- Check '*This section is not applicable to the application*' if notification letters can be sent to all people listed on the application – as usually happens when an application is received. Go to section 10.
 - Do not check '*This section is not applicable to the application*' if – for some reason – you are asking the court to **not** notify a person or persons listed on the application. Complete sections 9.1 and/or 9.2. You will need to explain your reasons in your affidavit.

Application - Form 14 (cont'd)

10.1 Indicate how the costs of the application will be paid.

- Include a court filing fee of \$50.00, in the form of a cheque or money order payable to 'Government of Alberta', unless you are requesting that the costs be paid by the Crown.
- The Crown in right of Alberta may agree to pay a specified amount and reasonable disbursements towards the costs associated with the application if paying the costs is a financial hardship for the adult or the applicant. Provide proof of the hardship in the "Costs" section of your affidavit.

10.2 Complete this item if you have a special request you are making, such as accepting an older Capacity Assessment Report. If you do not have a special request, select No.

Only the applicant is required to sign this form.

Affidavit - Form 15



Affidavit - Form 15

Enter the same information on the top section of the first page that was entered on your application form including the name and address of the applicant.

If you object to swearing an oath for religious reasons, you can affirm that the contents of the affidavit are true. Click on the drop down arrow next to the word 'swear' and select 'affirm'.

Sections

- 1.1 Do not check any boxes in this section
- 1.2 Check this box and the box next to Trustee. Also check the box next to Review of a Trusteeship Order.
- 1.3 This is a statement that you will be required to swear or affirm to. No response is needed.

Sections 2 through 6 are not applicable to an application to review an existing trusteeship order.

- 7. This section is required if you are continuing with the trusteeship order – fill out as directed. If you are **not** continuing with the trusteeship order, check '*This section is not applicable to the application*'.
- 8. This section is required if you are requesting to terminate the existing trusteeship order – fill out as directed. If you are **not** requesting to terminate the existing trusteeship order, check the box next to '*This section is not applicable to the application*'.
- 9. This section is required if you are requesting to discharge a trustee and appoint a new trustee – fill out as directed. If you are **not** requesting to discharge a trustee and appoint a new trustee check the box next to '*This section is not applicable to the application*'.

Affidavit - Form 15 (cont'd)

10. This section will not be applicable to an application to review an existing trusteeship order.
- 11 - 13 Complete any of these sections if they are applicable to your application. Otherwise check '*This section is not applicable to the application*'.
14. A Commissioner of Oaths or Notary Public will need to commission this document. **The applicant signs this document in the presence of a Commissioner of Oaths or Notary Public.** The OPGT staff are available to commission and witness signatures on your documents.

Trusteeship Plan - Form 34



Trusteeship Plan - Form 34

This form is required if you are requesting to continue with the trusteeship order.

Enter the same information on the top section of the first page that was entered on your application form.

Sections

1. Click the Add Name button to enter the name and province of residence for each proposed trustee.
2. Click the Add Name button to enter the name and province of residence for each proposed alternate trustee.

Questions on this form have a “Yes”, “No” or “Do Not Know” box to be checked. Depending on which you check, you may need to complete a Schedule or provide further information.

Assets

If the Adult owns land/property, either solely or as a co-owner, then you must complete Schedule 1. If needed, click the Add Property button on Schedule 1 for multiple properties.

If the Adult owns any mineral titles, either solely or as a co-owner, then you must complete Schedule 2. If needed, click the Add Title button on Schedule 2 for multiple mineral titles.

Provide information for all types of accounts and assets and explain how you will deal with the accounts and assets as trustee. If needed, click the Add Account button for multiple accounts and the Add Asset button for multiple assets.

Trusteeship Plan - Form 34 (cont'd)

Liabilities

Provide information for any money the adult owes. If needed, click the Add Creditor button for multiple debts.

Income and Expenses

For sections 11-17 you may find it useful to have copies of the Adult's bank account statements nearby. Complete Schedule 3 to estimate the Adult's income and Schedule 4 to estimate the Adult's expenses. Answer all questions and provide details as directed.

Administration of Adult's Financial Matters

Answer all questions and provide additional information as directed.

Use of Adult's Property for Benefit of Others

Answer all questions and provide additional information as directed.

Other Matters

Answer all questions and provide additional information as directed.

All proposed trustees must sign this form.

Consent Forms 27 & 29



Consent of Proposed Trustee - Form 27

Each proposed trustee must complete a Consent of Proposed Trustee - Form 27 giving their consent to act as trustee.

Enter the same information on the top section of the first page that was entered on your application form.

Section 1 is completed with the name of the proposed trustee and the name of the adult.

Sections 3 and 4 need to be completed.

Section 5 is not applicable to an application to review an existing trusteeship order.

All other sections are statements that do not require a response.

Someone must witness the proposed trustee sign the consent form.

Consent of Proposed Alternate Trustee - Form 29

Each proposed alternate trustee must complete a Consent of Proposed Alternate Trustee - Form 29 giving their consent to act as alternate trustee.

Enter the same information on the top section of the first page that was entered on your application form.

Section 1 is completed with the name of the proposed alternate trustee and the name of the adult.

Sections 3 and 4 need to be completed.

All other sections are statements that do not require a response.

Someone must witness the proposed alternate trustee sign the consent form.

Personal References - Form 30 and BackCheck



Personal References - Form 30

Each **new** proposed trustee and **new** proposed alternate trustee must complete one of these forms indicating two personal references that can be contacted for the purpose of obtaining personal information on them. This information will be used by the Review Officer for the purpose of preparing a report for Court regarding their suitability to be a trustee or alternate trustee.

Enter the name of the proposed trustee or alternate trustee.

Enter the name of the adult.

Check the appropriate box indicating what role the person is going to be appointed as.

Complete the required information for 2 personal references.

Someone must witness the person signing this form.

BackCheck

All **new** proposed trustees and alternate trustees will need to have a Criminal Record Check, Credit Check and a Personal Reference Check completed which **must** be done through Sterling BackCheck. Once you have submitted your application documents to the Office of the Public Guardian and Trustee, instructions on how to proceed with obtaining these suitability checks will be sent to each **new** proposed trustee and alternate trustee to their email addresses provided in Item 3.2 of the Application, Form 14.

Contact Us



Office of the Public Guardian and Trustee

To submit your completed application documents for processing, please contact the Office of the Public Guardian and Trustee closest to where the Adult lives.

Calgary – (403) 297-3364

Edmonton – (780) 427-0017

Grande Prairie – (780) 833-4319

Lethbridge – (403) 381-5648

Lloydminster – (780) 871-6490

Medicine Hat – (403) 529-3744

Red Deer – (403) 340-5165

St. Paul – (780) 645-6278